

## AMENDMENTS TO THE CLAIMS

Cancel claims 1-7, 12-19, 24 and 25 without prejudice.

This listing of claims will replace all prior versions, and listings, of claims in the application:

1-7. (canceled)

8. (currently amended) ~~An apparatus as in claim 4~~

An n-tier apparatus for executing and processing financial instrument transactions

comprising:

- a presentation layer further comprising a workspace;
- at least one intermediate component layer for supplying information to the workspace and transferring information from the interface;
- at least one information source layer for supplying information to said intermediate component and transferring information from said intermediate component;

wherein said workspace further comprises at least one sub interface, which sub interface is selected from the group comprising an order entry interface, an order modification interface, an order monitoring interface, an instrument monitoring interface, and a market monitoring interface;

wherein said intermediate component layer is comprised of at least one intermediate component selected from a predetermined group of intermediate components, wherein said selection occurs at least in part based upon the sub interface or sub interfaces chosen.

9. (currently amended) ~~An apparatus as in claim 4~~

An n-tier apparatus for executing and processing financial instrument transactions comprising:

- a presentation layer further comprising a workspace;
- at least one intermediate component layer for supplying information to the workspace and transferring information from the interface;
- at least one information source layer for supplying information to said intermediate component and transferring information from said intermediate component;

wherein said workspace further comprises at least one sub interface, which sub interface is selected from the group comprising an order entry interface, an order modification interface, an order monitoring interface, an instrument monitoring interface, and a market monitoring interface;

wherein said information source layer is comprised of at least one information source selected from a predetermined group of information sources, wherein said selection occurs at least in part based upon the sub interface or sub interfaces chosen.

10. (currently amended) An apparatus as in claim [[4]] 9 wherein said workspace is user configurable.

11. (original) An apparatus as in claim 10 wherein said workspace has a default configuration.

12-19. (canceled)

20. (currently amended) ~~A method as in claim 16~~

A n-tier method for executing and processing financial instrument transactions comprising:

- displaying a presentation layer further comprising a workspace;
- supplying information to the interface and transferring information from the interface through at least one intermediate component layer;
- supplying information to said intermediate component layer and transferring information from said intermediate component layer through at least one information source layer;

wherein said workspace further comprises at least one sub interface, which sub interface is selected from the group comprising an order entry interface, an order modification interface, an order monitoring interface, an instrument monitoring interface, and a market monitoring interface;

wherein said intermediate component layer is comprised of at least one intermediate component selected from a predetermined group of intermediate components, wherein said selection occurs at least in part based upon the sub interface or sub interfaces chosen.

21. (currently amended) ~~A method as in claim 16~~

A n-tier method for executing and processing financial instrument transactions comprising:

- displaying a presentation layer further comprising a workspace;
- supplying information to the interface and transferring information from the interface through at least one intermediate component layer;

- supplying information to said intermediate component layer and transferring information from said intermediate component layer through at least one information source layer;

wherein said workspace further comprises at least one sub interface, which sub interface is selected from the group comprising an order entry interface, an order modification interface, an order monitoring interface, an instrument monitoring interface, and a market monitoring interface;

wherein said information source layer is comprised of at least one information source selected from a predetermined group of information sources, wherein said selection occurs at least in part based upon the sub interface or sub interfaces chosen.

22. (currently amended) A method as in claim 13 21 wherein said method further comprises the step of trading a financial instrument through said user interface.

23. (original) A method as in claim 22 wherein said step of trading financial instruments through said user interface further comprises trading said instrument through aggregate pricing of said instrument.

24-25. (canceled)

26. (new) An apparatus as in claim 8 wherein said sub interface further comprises a window.

27. (new) An apparatus as in claim 9 wherein said sub interface further comprises a window.

28. (new) An apparatus as in claim 8 wherein said workspace is user configurable.

29. (new) An apparatus as in claim 28 wherein said workspace has a default configuration.

30. (new) A method as in claim 20 wherein said sub interface further comprises a window.

31. (new) A method as in claim 21 wherein said sub interface further comprises a window.

32. (new) A method as in claim 20 wherein said method further comprises the step of trading a financial instrument through said user interface.

33. (new) A method as in claim 32 wherein said step of trading financial instruments through said user interface further comprises trading said instrument through aggregate pricing of said instrument.